

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

<i>Application Number</i>	09/998,032
<i>Filing Date</i>	NOVEMBER 29, 2001
<i>First Named Inventor</i>	JEFFREY A. CHAPMAN ET AL.
<i>Group Art Unit</i>	2871
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	GB 000166

These documents may be relevant in that they have been:

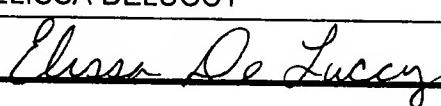
- considered in drafting the specification of the above-referenced application;
- cited in the specification of the above-referenced application;
- previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counter part application, a copy of which report is also enclosed;
  - I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- otherwise a concise explanation of the relevance of each document is append hereto.
  - I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

## **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print Type)	ERIC BRAM	Registration No. (Attorney/Agent)	37,285
Signature		Date	11/1/02

## **CERTIFICATE OF MAILING OR TRANSMISSION**

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Name (Print Type)	ELISSA DELUCCY		
Signature		Date	11/4/02

THE COMMISSIONER FOR PATENTS, Washington, D.C. 20231

Enclosed for filing is the patent application of Inventor(s):  
 Jeffrey A. CHAPMAN; Pieter J. VAN DER ZAAG; Steven C. DEANE; Ian D. FRENCH

For: PIXELLATED DEVICES SUCH AS ACTIVE MATRIX LIQUID CRYSTAL DISPLAYS

**ENCLOSED ARE:**

- [X] Appointment of Associates;
- [X] Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
- [X] Preliminary Amendment;
- [X] Specification (20 Pages of Specification, Claims, & Abstract);
- [X] Declaration and Power of Attorney:  
 (2 Pages of a [X] fully executed [ ] unsigned Declaration);
- [X] Drawing (5 sheets of [X] informal [ ] formal sheets);
- [X] Certified copy of GREAT BRITAIN application Serial No. 0029427.2;
- [X] Authorization Pursuant to 37 CFR §1.136(a)(3)
- [ ] Other: ;
- [ ] Assignment to

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - \$740.00
Total Claims	23 - 20 = 0	0	X \$18 =	0.00
Independent Claims	3 - 3 = 0	0	X \$84 =	0.00
Multiple Dependent Claims, if any			\$280 =	0.00
TOTAL FILING FEE . . . . . =				\$740.00

Please charge Deposit Account No. 14-1270 in the amount of the total filing fee indicated above, plus any deficiencies. The Commissioner is also hereby authorized to charge any other fees which may be required, except the issue fee, or credit any overpayment to Account No. 14-1270.

[ ] Amend the specification by inserting before the first line as a centered heading --Cross Reference to Related Applications--; and insert below that as a new paragraph --This is a continuation-in-part of application Serial No. , filed , which is herein incorporated by reference--.

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Mailing Label No. EK592608495US

Date of Deposit 11-29-01

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Jeanne Rusclano  
Typed Name

*Jeanne Rusclano*  
Signature

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Nov 29 2001

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DISCLOSURE STATEMENT  
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<i>Application Number</i>	
<i>Filing Date</i>	Concurrently
<i>First Named Inventor</i>	Jeffrey A. CHAPMAN
<i>Group Art Unit</i>	
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	GB 000166

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- considered in drafting the specification of the above-referenced application;
- cited in the specification of the above-referenced application;
- previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
  - I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
  - otherwise a concise explanation of the relevance of each document is append hereto.
  - I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print Type)	Michael E. Marion	Registration No. (Attorney/Agent)	32,266
Signature		Date	11/28/01

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Signature		Date	

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. GB 000166	Serial No.
		Applicant Jeffrey A. CHAPMAN et al	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Filing Date CONCURRENTLY	Group

**U.S. PATENT DOCUMENTS**

Ex. Int.		Document Number		Date		Name	Class	Sub- class	Filing Date If Approp.
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								

**FOREIGN PATENT DOCUMENTS**

		Document Number									Date	Country	Class	Sub- class	Trans.	
		E	P	0	5	1	8	4	2	2					Yes	No
AG	E	P	0	5	1	8	4	2	2	12/16/92	EUROPE	C03C	17/10	X		
AH	W	O	9	9	5	9	0	2	4	11/18/99	PCT	G02F	1/1343	X		
AI																
AJ																
AK																

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

AL		"Conductivity Enhancement of Transparent Electrode by Side-Wall Copper Electropolating", J. Liu et al, SID 93 Digest, pp. 554-557
AM		
AN		

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 COMMERCE (REV. 7-80)		U. S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE						Atty. Docket No. GB 000166	Serial No. 09/998,032				
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicant											
		JEFFREY A. CHAPMAN ET AL.											
		Filing Date 11/29/01			Group 2871								
<b>U.S. PATENT DOCUMENTS</b>													
Ex. Int.		Document Number				Date	Name	Class	Sub- class	Filing Date If Approp.			
	AA	0	0	1	9	3	7	4	9/6/01	IZUMI ET AL. (Patent App. Pub. 2001)	349	43	
	AB												
	AC												
	AD												
	AE												
	AF												
<b>FOREIGN PATENT DOCUMENTS</b>													
		Document Number				Date	Country	Class	Sub- class	Trans.			
	AG									Yes	No		
	AH												
	AI												
	AJ												
	AK												
<b>OTHER</b> (Including Author, Title, Date, Pertinent Pages, Etc.)													
	AL												
	AM												
	AN												
Examiner							Date Considered						
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.													